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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Complete If Known FEE TRANSMITTAL Application Number 10/067,758 tvees are subject to annual revision. Filing Date 8 February 2002 First Named Inventor SHANKAR, VIJAY AUG 0 8 2007 **Examiner Name** To be Assigned Group/Art Unit 2629 TOTAL AMOUNT OF PAYMENT (\$) 200.00 Attorney Docket No. P54562RE **METHOD OF PAYMENT (check one) FEE CALCULATION** Fee Fee Fee Fee Fee 1. ■ Payment Enclosed: Code (\$) Code (\$) **Fee Description** Paid (CHECK #52906) ■ Check □ Credit Card □ Money Order **MISCELLANEOUS** Other 1801 \$790 2801 \$395 Request for continued examination (RCE) Charge Any Additional Fee Required Under 37 C.F.R. §1.16 and 1.17. \$180 1806 Submission of an IDS 1814 \$130 2814 \$65 Statutory disclaimer Applicant claims small entity status. See 37 CFR 1.27 8021 \$40 Recordation of assignment per property TRADEMARK 2. The Commissioner is hereby authorized to charge any deficiency and credit any over payments to: 6001/7001 \$335 Application for registration, per class \$ 6002/7002 \$100 Amendment to Allege Use, per class \$ Deposit Account Number: 02-4943 **FEE CALCULATION** 6003/7003 \$100 Statement of Use, per class Fee Paid 6004/7004 \$150 Fee Fee Fee Fee Request for six-month extension of time, per class \$ Fee Description Code (\$) Code (\$) 6205/7205 \$100 §8 affidavit, per class **EXTENSION OF TIME FEES** 6208/7208 \$200 §15 affidavit, per class 1251 120 -2251 60 Extension for reply within first month 6201/7201 \$400 Application for renewal, per class 2252 225 Extension for reply within second month \$ 6403/7403 \$100 Ex parte appeal, per class 1252 450 1253 1020 2253 510 Extension for reply within third month \$ **PETITION** Extension for reply within fourth month \$ 1462 \$400 1254 1590 2254 795 Petitions to Director (Group I) 1255 2160 2255 1080 Extension for reply within fifth month 1463 \$200 Petitions to Director (Group II) \$ 200.00 APPEAL 1464 \$130 Petitions to Director (Group III) 1401 500 2401 250 Notice of Appeal \$ 1452 \$500 2452 \$250 Petitions to revive unavoidably abandoned \$ application 2402 250 Filing a brief in support of an appeal 2453 \$750 1402 500 1453 \$1500 Petitions to revive unintentionally abandoned \$ application 403 1000 2403 500 Request for oral hearing \$ **PATENT MAINTENANCE** CLAIMS 1551 \$900 2551 \$450 Due at 3.5 years 201 200 -2201 100 Independent claims in excess of 3 \$ 1552 \$2300 2552 \$1150 Due at 7.5 years 1202 claims in excess of 20 \$3800 2553 \$1900 Due at 11.5 years 50 2202 25 Other Fee (specify) fee code 1011 Basic filing fee (Utility) Other Fee (specify) Other Fee (specify) fee code 1111 Search fee (Utility) Other Fee (specify) Other Fee (specify) fee code 1311 Examination fee (Utility) Other Fee (specify) Other Fee (specify) fee code 1051 Surcharge - late filing fee or Other Fee (specify) oath or declaration Other Fee (specify) Other Fee (specify) SUBTOTAL: LEFT COLUMN \$0.00 SUBTOTAL: RIGHT COLUMN \$200.00 Complete (if applicable) SUBMITTED BY Typed or Printed Reg. Number Name Robert E. Bushnell, Esq. 27,774 8 August 2007 **Deposit Account** Signature Date User ID





# N THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

CHUN-GEUN CHOI

Serial No.:

10/067,758

Examiner:

SHANKAR, VIJAY

Filed:

8 February 2002

Art Unit:

2629

For:

COLOR CURVE CONTROL CIRCUIT AND METHOD

# PETITION UNDER 37 C.F.R. §1.47(a) and (b), §1.181 And §1.183

**Office of Petitions** 

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §1.47(b), Samsung Electronics Co., Ltd., a corporate entity chartered in and existing under the laws of the Republic of Korea, and maintaining its principal office at 416, Maetan-dong, Paldal-gu, Suwon-city, Kyungki-do, Republic of KOREA, respectfully petitions the Commissioner to receive the accompanying Declaration signed by duly authorized officer of Samsung Electronics Co., Ltd., and as reasons therefore, states that:

08/09/2007 HAHNED1 00000158 10067758

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Folio: P54562RE Date: 8/8/07 I.D.: REB/fw

#### **STATEMENT OF FACTS**

- 1. The above-captioned U.S. reissue patent application Serial No. 10/067,758 was filed on the 8<sup>th</sup> of February 2002.
- 2. Chun-Geun CHOI is the sole inventor of the subject matter disclosed and claimed in Korean priority application No. 20847/1996 filed in the Korean Intellectual Property Office on the 11<sup>th</sup> of June 1996.
- 3. For more than one (1) year prior to the filing of Korean priority application No. 20847/1996 on the 11<sup>th</sup> of June 1996, and until the sometime thereafter, Kwang-Su KIM was continuously employed as a full-time employee of Samsung Electronics Co., Ltd.
- 4. The subject matter described and claimed in the present application assigned U.S. Serial No. 10/067,758 was conceived and disclosed by the sole inventor, Mr. Chun-Geun CHOI to Samsung Electronics Co., Ltd. during his employment with Samsung Electronics Co., Ltd., and prior to his resignation from his employment with Samsung Electronics Co., Ltd.
- 5. A Senior Manager and a duly authorized employee and representative of Samsung Electronics, Co., Ltd. is an employee of Samsung Electronics Co., Ltd., at 416 Maetan-dong, Paldal-gu, Suwon-city, Kyungki-do, Republic of Korea, personally knew the sole inventor, Chun-Geun CHOI, and set forth the following statements made of the Declarant's own knowledge as true.
- 6. The non-signing sole inventor, Chun-Geun CHOI resigned from his employment with Samsung Electronics Co., Ltd., on or about the 15<sup>th</sup> of July 2000.

- 7. Pursuant to 37 CFR §3.73(b)(1), Samsung Electronics Co., Ltd, is the owner of all of the right, title and interest in and to the above-captioned U.S. reissue application by virtue of an Assignment from the sole inventor, Mr. Chun-Geun CHOI of all right, title and interest in and to the parent U.S. patent application entitled *COLOR CURVE CONTROL CIRCUIT AND METHOD* assigned Serial No. 08/873,289, which issued as U.S. Patent No. 6,025,823 on the 15<sup>th</sup> of February 2000, from which the above-captioned reissue application was filed on the 8<sup>th</sup> of February 2002 and duly assigned Serial No. 10/067,758, which Assignment was recorded among the Assignment records of the United States Patent & Trademark Office on Reel No. 8748, at Frame No. 0366 on the 6<sup>th</sup> of October 1997.
- 8. A Senior Manager and a duly authorized employee and representative of Samsung Electronics, Co., Ltd., confirmed that the sole inventor Mr. Chun-Geun CHOI had resigned from his employment with Samsung Electronics Co., Ltd., the Assignee of a substantial interest in the above-captioned U.S. Patent application.
- 9. A Senior Manager and a duly authorized employee and representative of Samsung Electronics, Co., Ltd., confirmed that there is no record of the current address of the non-signing sole inventor, Mr. Chun-Geun CHOI available to Samsung Electronics Co., Ltd., and that there is no way known to the Declarant to have the Declaration signed by the non-signing sole inventor, Mr. Chun-Geun CHOI, because Mr. Chun-Geun CHOI had long prior resigned from his employment with Samsung Electronics Co., Ltd., and the Declarant has not been able to find Mr. Chun-Geun CHOI or to otherwise communicate with Mr. Chun-Geun CHOI at his address last known to the Declarant, Jukong Apt. 27-109 176 Maetan 1-dong, Paldal-ku, Suwon-city, Kyungki-do, Republic of Korea.
- 10. A Senior Manager and a duly authorized employee and representative of Samsung

Electronics Co., Ltd.., pursuant to 37 C.F.R. §1.47 (a) and (b), made a diligent effort to reach the sole inventor by traveling to the home of Mr. Chun-Geun CHOI at the last known address of the Mr. Chun-Geun CHOI, and by attempting to contact Mr. Chun-Geun CHOI at Jukong Apt. 27-109 176 Maetan 1-dong, Paldal-ku, Suwon-city, Kyungki-do, Republic of Korea, with a complete copy of the above-captioned U.S. patent application Serial No. 10/067,758, together with the specification, claims, drawings, and Declaration of that application, to tell Mr. Chun-Geun CHOI that the application Serial No. 10/067,758 was an U.S. patent application which claimed priority under 35 U.S.C. §119 based on the earlier filed patent application Serial No. 20847/1996 filed in the Korean Intellectual Property Office, and to request Mr. Chun-Geun CHOI to read and review this U.S. patent application and to sign the annexed Declaration and Assignment.

- 11. A Senior Manager, and a duly authorized employee and representative of Samsung Electronics Co., Ltd., the Assignee of all right, title and interest in and to the above-captioned reissue application, personally visited the last known address of the non-signing sole inventor, Mr. Chun-Geun CHOI, Jukong Apt. 27-109 176 Maetan 1-dong, Paldal-ku, Suwon-city, Kyungki-do, Republic of Korea, and learned that Mr. Chun-Geun CHOI had moved from his last known address subsequent to the resignation of Mr. Kwang-Su KIM from his employment with Samsung Electronics Co., Ltd.
- 12. A Senior Manager and a duly authorized employee and representative of Samsung Electronics, Co., Ltd. has unsuccessfully sought to obtain a reliable forwarding address of the non-signing sole inventor, Mr. Chun-Geun CHOI by orally requesting a forwarding address from the manager and caretaker who is resident at the last known address of Mr. Chun-Geun CHOI, namely at the residence known as Jukong Apt. 27-109 176 Maetan 1-dong, Paldal-ku, Suwon-city, Kyungki-do, Republic of

Korea; the resident manager and caretaker stated that he had neither a forwarding address nor a mailing address for Mr. Chun-Geun CHOI.

- 13. A Senior Manager and a duly authorized employee and representative of Samsung Electronics, Co., Ltd. sought to identify former co-workers of the non-signing sole inventor, Mr. Chun-Geun CHOI by questioning managers and individuals working in the same work unit where Mr. Chun-Geun CHOI had last been assigned during his tenure with the Assignee, but The Declarant was unable to find any manager or any individual within that work unit who has had contact with Mr. Chun-Geun CHOI since Mr. Chun-Geun CHOI resigned from his employment with the Assignee.
- 14. A Senior Manager and a duly authorized employee and representative of Samsung Electronics, Co., Ltd. has determined that during his employment with the Assignee, Mr. Chun-Geun CHOI was furnished with an eMail address by the Assignee, and that after his resignation, that eMail address was terminated.
- 15. A Senior Manager and a duly authorized employee and representative of Samsung Electronics, Co., Ltd. has unsuccessfully sought to obtain a reliable eMail address of the non-signing sole inventor, Mr. Chun-Geun CHOI.
- 16. A Senior Manager and a duly authorized employee and representative of Samsung Electronics, Co., Ltd. has written a letter to Mr. Chun-Geun CHOI, and mailed that letter to Mr. Chun-Geun CHOI in an envelope, postage prepaid, addressed to Mr. Chun-Geun CHOI at his last known address, Jukong Apt. 27-109 176 Maetan 1-dong, Paldal-ku, Suwon-city, Kyungki-do, Republic of Korea, and requested Mr. Chun-Geun CHOI to either write, telephone or eMail a response to the Declarant in order that the Declarant might have an opportunity to arrange for Mr. Chun-Geun CHOI to read and review this U.S. patent application and to sign the annexed Declaration and

Assignment. That letter was returned to the Declarant by the Korean Postal Service with a stamped legend indicating that Mr. Chun-Geun CHOI was unknown at his last known address.

- 17. Due to the fact that the non-signing sole inventor, Mr. Chun-Geun CHOI is no longer employed by the Assignee, a A Senior Manager and a duly authorized employee and representative of Samsung Electronics, Co., Ltd. has had no access to or communication with Mr. Chun-Geun CHOI on a daily basis and has no other opportunity to request Mr. Chun-Geun CHOI to read and review the above-captioned U.S. patent application and to sign the annexed Declaration and Assignment.
- 18. On information and belief, and based upon prior person experience of a Senior Manager and a duly authorized employee and representative of Samsung Electronics, Co., Ltd., personal and to government information about the non-signing sole inventor, Mr. Chun-Geun CHOI is not available to the a Senior Manager because such access is unavailable to and is routinely denied under the safeguards of privacy laws and national security restrictions placed upon such information.
- 19. A Senior Manager and a duly authorized employee and representative of Samsung Electronics, Co., Ltd. has performed an Internet search for Mr. Chun-Geun CHOI using the most popular Korean language Internet search engine, NAVER.COM., but received no "hits" as a result of that search.
- 20. The last known address of the non-signing sole inventor, Chun-Geun CHOI was Jukong Apt. 27-109 176 Maetan 1-dong, Paldal-ku, Suwon-city, Kyungki-do, Republic of Korea.
- 21. The subject matter disclosed and claimed in the above-captioned application, Serial

No. 10/067,758, was disclosed in patent application Serial No. 20847/1996 earlier filed in the Korean Intellectual Property Office on the 11<sup>th</sup> of June 2006, and subsequently laid-open to the public

- 22. Samsung Electronics Co., Ltd. has invested several hundreds of millions of dollars in research, development and pre-production design of commercial products likely to embody the principles of the inventions disclosed and claimed in the above-captioned application, Serial No. 10/067,758, and disclosed in patent applications Serial No. 20847/1996 earlier filed in the Korean Intellectual Property Office, on the 11th of June 1996, and subsequently laid-open to the public.
- 23. On information and belief, Samsung Electronics Co., Ltd. will lose its right to claim priority under 35 U.S.C. §119 for the above-captioned application, Serial No. 10/067,758 due to the passage of more than one (1) year since the foreign filing of its priority patent application Serial No. 20847/1996 earlier filed in the Korean Intellectual Property Office on 11<sup>th</sup> June 1996, and subsequently laid-open to the public, and will be thereby irreparable harmed unless allowed to proceed under either 37 CFR §1.47(a) or (b).
- On information and belief, Samsung Electronics Co., Ltd. will lose its rights in the above-captioned application, Serial No. 10/067,758 due to the publication of patent application Serial No. 20847/1996 earlier filed in the Korean Intellectual Property Office on the 11<sup>th</sup> of June 1996, and subsequently laid-open to the public, and will be thereby irreparable harmed unless allowed to proceed under either 37 CFR §1.47(a) or (b).
- 25. On information and belief, Samsung Electronics Co., Ltd. will lose its right to obtain patent protection in these United States for its aforesaid investment of several

hundreds of millions of dollars in research, development and pre-production design of commercial products likely to embody the principles of the inventions disclosed and claimed in the above-captioned application, Serial No. 10/067,758, and disclosed in patent application Serial No. 20847/1996 earlier filed in the Korean Intellectual Property Office, and will be thereby irreparable harmed unless allowed to proceed under either 37 CFR §1.47(a) or (b).

A Senior Manager and a duly authorized employee and representative of Samsung Electronics, Co., Ltd., pursuant to 37 C.F.R.§1.68, having been warned that willful full statements and alike are punishable by fine or imprisonment, or both under 18 U.S.C. §1001, and may jeopardize the validity of the application or any patent issuing thereon, states that all statements made in this Declaration made of the Senior Manager's own knowledge are true and that all statements made on information and belief are believed to be true.

#### **REMARKS**

Under 37 C.F.R. §1.47(a) and (b), when all of the inventors refuse to execute an application for a patent, or cannot be found or reached after diligent effort, the person to whom the inventor has assigned or agreed on writing to assign the invention, or who otherwise shows sufficient proprietary interest in the matter justifying such action, may make application for patent on behalf of and as agent for all the inventors. The subject matter described and claimed in the present application assigned U.S. Serial No. 10/067,758 was conceived and disclosed by the non-signing sole inventor, Mr. Chun-Geun CHOI, during their employment with Samsung Electronics Co., Ltd., and prior to the resignation of the non-signing sole inventor, Mr. Chun-Geun CHOI from his employment with Samsung Electronics Co., Ltd.

Samsung Electronics Co., Ltd. was the employer of the inventor during the conception and subsequent filing of both the Korean priority application Serial No. 20847/1996 on the 11th of June 1996. Samsung Electronics Co., Ltd. is an employer of several thousand individuals, and in multiple countries. Over the course of a typical one year period between the filing of a Korean priority application and subsequent filings in the United States, several hundred employees either resign or retire; frequently, upon resignation those employees often accept other employment elsewhere in either in Republic of Korea or in other countries which necessitates a move of their principal residence, while those employees who retire seldom maintain the same residential address. Both instances, the former employee is usually re-located to a geographic area and have no particular reason to maintain a current address with their former employer. Privacy laws prevent the Applicant here from obtaining assistance from the governmental agencies in efforts to locate former employees. As was established by the previously filed Memorandum of Law of Duke Y. CHOI, Esquire, ownership of the above-captioned U.S. Patent Application assigned Serial No. 10/067,758 vests in Samsung Electronics Co., Ltd., under Articles 37 and 38 of the Korean Industrial Property Act, by virtue of the employment of the sole inventor, Mr. Chun-Geun CHOI. Under 37 C.F.R. §1.47(b), Samsung Electronics Co., Ltd. has therefore of sufficient proprietary interest to sign the Declaration on behalf of, and as agent for the sole inventor, Mr. Chun-Geun CHOI.

In view of the inability of the Assignee to find or reach the inventor to execute a Supplemental Declaration for a patent, as attested to by the accompanying Declaration of the Declarant, a duly authorized officer of the sole Assignee, who demonstrated sufficient proprietary interest by Samsung Electronics Co., Ltd., the Commissioner is respectfully requested to pursuant to 37 §1.47(c) to send notice of the application to the sole inventor and to accept the accompanying Declaration of Samsung Electronics Co., Ltd. signed by a duly authorized officer of Samsung Electronics Co., Ltd., on behalf of the Assignee, and as agent for the sole inventor, Chun-Geun CHOI, as a full and sufficient supplemental declaration under 37 CFR § 1.175(b).

The fee incurred under 37 C.F.R. §1.17(h) accompanies this Petition.

PATENT P54562RE

### RELIEF REQUESTED

The Commissioner is therefore, respectfully requested to:

A. Suspend the Rules of Practice under the authority of 37 CFR § 1.183 and accept the accompanying Declaration signed by duly authorized representative of Samsung Electronics Co., Ltd. on behalf of the Assignee, Samsung Electronics Co., Ltd., and as agent for the sole inventor, Chun-Geun CHOI, in full satisfaction under 37 CFR

§ 1.175(b);

B. Forward the above-captioned patent application to the Examining corp for passage

of the application to issue; and

C. Grant such other and further relief as justice may require.

Respectfully submitted,

Robert E. Bushnell,

Attorney for the Applicant Registration No.: 27,774

1522 "K" Street N.W., Suite 300 Washington, D.C. 20005 (202) 408-9040

Folio: P54562RE

Date: 8/8/07 I.D.: REB/fw



#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

**CHUN-GEUN CHOI** 

Serial No.:

10/067,758

Examiner:

SHANKAR, VIJAY

Filed:

8 February 2002

Art Unit:

2629

For:

COLOR CURVE CONTROL CIRCUIT AND METHOD

# TRANSMITTAL OF DECLARATION IN SUPPORT OF PETITION UNDER 37 CFR §1.47(a) and (b)

Commissioner for Patents P.O.Box 1450 Alexandria, VA 22313-1450

Sir:

This transmittal accompanies the original <u>executed</u> Declaration in Support of Petition under 37 CFR §1.47(a) and (b) for the above-referenced application.

Respectfully submitted,

Robert E. Bushnell,

Attorney for the Applicant Registration No.: 27,774

Suite 300, 1522 "K" Street, N.W. Washington, D.C. 20005 (202) 408-9040

Folio: P54562RE Date: 8/8/07 I.D.: REB/fw



### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

**CHUN-GEUN CHOI** 

Serial No.:

10/067,758

Examiner:

SHANKAR, VIJAY

Filed:

8 February 2002

Art Unit:

2629

For:

COLOR CURVE CONTROL CIRCUIT AND METHOD

DECLARATION IN SUPPORT OF PETITION UNDER 37 C.F.R.§1.47(a) and (b)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The undersigned Declarant, in support of the accompanying Petition pursuant to 37 C.F.R. §1.47(b), by Samsung Electronics Co., Ltd., a corporate entity chartered in and existing under the laws of the Republic of Korea, and maintaining its principal office at 416, Maetan-dong, Paldal-gu, Suwon-city, Kyungki-do, Republic of KOREA, respectfully states that:

Folio: P54562RE

Date: 8/8/07 I.D.: REB/fw

#### **STATEMENT OF FACTS**

- 1. The above-captioned U.S. reissue patent application Serial No. 10/067,758 was filed on the 8<sup>th</sup> of February 2002.
- Chun-Geun CHOI is the sole inventor of the subject matter disclosed and claimed in Korean priority application No. 20847/1996 filed in the Korean Intellectual Property Office on the 11<sup>th</sup> of June 1996.
- 3. For more than one (1) year prior to the filing of Korean priority application No. 20847/1996 on the 11<sup>th</sup> of June 1996, and until the sometime thereafter, Kwang-Su KIM was continuously employed as a full-time employee of Samsung Electronics Co., Ltd.
- 4. The subject matter described and claimed in the present application assigned U.S. Serial No. 10/067,758 was conceived and disclosed by the sole inventor, Mr. Chun-Geun CHOI to Samsung Electronics Co., Ltd. during his employment with Samsung Electronics Co., Ltd., and prior to his resignation from his employment with Samsung Electronics Co., Ltd., assigned as a graduate engineer, to research an development.
- 5. The undersigned Declarant is an employee of Samsung Electronics Co., Ltd., at 416 Maetan-dong, Paldal-gu, Suwon-city, Kyungki-do, Republic of Korea, who did not personally know the sole inventor, Chun-Geun CHOI, and set forth the following statements made of the Declarant's own knowledge as true.
- 6. The non-signing sole inventor, Chun-Geun CHOI resigned from his employment with Samsung Electronics Co., Ltd., on or about the 15<sup>th</sup> of July 2000.

- 7. Pursuant to 37 CFR §3.73(b)(1), Samsung Electronics Co., Ltd, is the owner of all of the right, title and interest in and to the above-captioned U.S. reissue application by virtue of an Assignment from the sole inventor, Mr. Chun-Geun CHOI of all right, title and interest in and to the parent U.S. patent application entitled *COLOR CURVE CONTROL CIRCUIT AND METHOD* assigned Serial No. 08/873,289, which issued as U.S. Patent No. 6,025,823 on the 15<sup>th</sup> of February 2000, from which the above-captioned reissue application was filed on the 8<sup>th</sup> of February 2002 and duly assigned Serial No. 10/067,758, which Assignment was recorded among the Assignment records of the United States Patent & Trademark Office on Reel No. 8748, at Frame No. 0366 on the 6<sup>th</sup> of October 1997.
- 8. The undersigned Declarant, as a Senior Manager and a duly authorized employee and representative of Samsung Electronics, Co., Ltd., confirmed that the sole inventor Mr. Chun-Geun CHOI had resigned from his employment with SamSung Electronics Co., Ltd., the Assignee of all interest in the above-captioned U.S. Patent application.
- 9. The undersigned Declarant, as a Senior Manager and a duly authorized employee and representative of Samsung Electronics, Co., Ltd., confirmed that there is no record of the current address of the non-signing sole inventor, Mr. Chun-Geun CHOI available to Samsung Electronics Co., Ltd., and that there is no way known to the Declarant to have the Declaration signed by the non-signing sole inventor, Mr. Chun-Geun CHOI, because Mr. Chun-Geun CHOI had long prior resigned from his employment with Samsung Electronics Co., Ltd., and the Declarant has not been able to find Mr. Chun-Geun CHOI or to otherwise communicate with Mr. Chun-Geun CHOI at his address last known to the Declarant, Jukong Apt. 27-109 176 Maetan 1-dong, Paldal-ku, Suwon-city, Kyungki-do, Republic of Korea

- The undersigned Declarant, as a Senior Manager and a duly authorized 10. employee and representative of Samsung Electronics, Co., Ltd., pursuant to 37 C.F.R. §1.47 (a) and (b), made a diligent effort to reach the sole inventor by traveling to the home of Mr. Chun-Geun CHOI at the last known address of the Mr. Chun-Geun CHOI, and by attempting to contact Mr. Chun-Geun CHOI at Jukong Apt. 27-109 176 Maetan 1-dong, Paldalku, Suwon-city, Kyungki-do, Republic of Korea, with a complete copy of the above-captioned U.S. patent application Serial No. 10/067,758, together with the specification, claims, drawings, and Declaration of that application, to tell Mr. Chun-Geun CHOI that the application Serial No. 10/067,758 was an U.S. patent application which claimed priority under 35 U.S.C. §119 based on the earlier filed patent application Serial No. 20847/1996 filed in the Korean Intellectual Property Office, and to request Mr. Chun-Geun CHOI to read and review this U.S. patent application and to sign the annexed Declaration and Assignment.
- 11. The undersigned Declarant, as a Senior Manager, and a duly authorized employee and representative of Samsung Electronics Co., Ltd., the Assignee of all right, title and interest in and to the above-captioned reissue application, personally visited the last known address of the non-signing sole inventor, Mr. Chun-Geun CHOI, Jukong Apt. 27-109 176 Maetan 1-dong, Paldal-ku, Suwon-city, Kyungki-do, Republic of Korea, and learned that Mr. Chun-Geun CHOI had moved from his last known address subsequent to the resignation from his employment with SamSung Electronics Co., Ltd.
- 12. The Declarant has unsuccessfully sought to obtain a reliable forwarding address of the non-signing sole inventor, Mr. Chun-Geun CHOI by orally requesting a forwarding address from the manager and caretaker who is resident at the last known address of Mr. Chun-Geun CHOI, namely at the residence known as Jukong Apt. 27-109 176 Maetan 1-dong, Paldal-ku,

- Suwon-city, Kyungki-do, Republic of Korea; the resident manager and caretaker stated that he had neither a forwarding address nor a mailing address for Mr. Chun-Geun CHOI.
- 13. The Declarant sought to identify former co-workers of the non-signing sole inventor, Mr. Chun-Geun CHOI by questioning managers and individuals working in the same work unit where Mr. Chun-Geun CHOI had last been assigned during his tenure with the Assignee, but The Declarant was unable to find any manager or any individual within that work unit who has had contact with Mr. Chun-Geun CHOI since Mr. Chun-Geun CHOI resigned from his employment with the Assignee.
- 14. The Declarant has determined that during his employment with the Assignee, Mr. Chun-Geun CHOI was furnished with an eMail address by the Assignee, and that after his resignation, that eMail address was terminated.
- 15. The Declarant has unsuccessfully sought to obtain a reliable eMail address of the non-signing sole inventor, Mr. Chun-Geun CHOI.
- 16. The Declarant has written a letter to Mr. Chun-Geun CHOI, and mailed that letter to Mr. Chun-Geun CHOI in an envelope, postage prepaid, addressed to Mr. Chun-Geun CHOI at his last known address, Jukong Apt. 27-109 176 Maetan 1-dong, Paldal-ku, Suwon-city, Kyungki-do, Republic of Korea, and requested Mr. Chun-Geun CHOI to either write, telephone or eMail a response to the Declarant in order that the Declarant might have an opportunity to arrange for Mr. Chun-Geun CHOI to read and review this U.S. patent application and to sign the annexed Declaration and Assignment. That letter was returned to the Declarant by the Korean Postal Service with a stamped legend indicating that Mr. Chun-Geun CHOI was unknown at his last known address.

- 17. Due to the fact that the non-signing sole inventor, Mr. Chun-Geun CHOI is no longer employed by the Assignee, the Declarant has had no access to or communication with Mr. Chun-Geun CHOI on a daily basis and has no other opportunity to request Mr. Chun-Geun CHOI to read and review the above-captioned U.S. patent application and the Amendments filed therein, and to sign the annexed Declaration and Assignment.
- 18. On information and belief, and based upon prior person experience of the Declarant, personal and to government information about the non-signing sole inventor, Mr. Chun-Geun CHOI is not available to the Declarant because such access is unavailable to and is routinely denied under the safeguards of privacy laws and national security restrictions placed upon such information.
- 19. The Declarant has performed an Internet search for Mr. Chun-Geun CHOI using the most popular Korean language Internet search engine, NAVER.COM., but received no "hits" as a result of that search.
- 20. The last known address of the non-signing sole inventor, Chun-Geun CHOI was Jukong Apt. 27-109 176 Maetan 1-dong, Paldal-ku, Suwon-city, Kyungki-do, Republic of Korea.
- 21. The subject matter disclosed and claimed in the above-captioned application, Serial No. 10/067,758, was disclosed in patent application Serial No. 20847/1996 earlier filed in the Korean Intellectual Property Office on the 11<sup>th</sup> of June 1996, and subsequently laid-open to the public.
- 22. Samsung Electronics Co., Ltd. has invested several hundreds of millions of dollars in research, development and pre-production design of commercial products likely to embody the principles of the inventions disclosed and claimed in the above-captioned application, Serial No.

10/067,758, and disclosed in patent applications Serial No. 20847/1996 earlier filed in the Korean Intellectual Property Office, on the 11<sup>th</sup> of June 1996, and subsequently laid-open to the public.

- 23. On information and belief, Samsung Electronics Co., Ltd. will lose its right to claim priority under 35 U.S.C. §119 for the above-captioned application, Serial No. 10/067,758 due to the passage of more than one (1) year since the foreign filing of its priority patent application Serial No. 20847/1996 earlier filed in the Korean Intellectual Property Office on 11<sup>th</sup> June 1996, and subsequently laid-open to the public, and will be thereby irreparable harmed unless allowed to proceed under either 37 CFR §1.47(a) or (b).
- 24. On information and belief, Samsung Electronics Co., Ltd. will lose its rights in the above-captioned application, Serial No. 10/067,758 due to the publication of patent application Serial No. 20847/1996 earlier filed in the Korean Intellectual Property Office on the 11<sup>th</sup> of June 1996, and subsequently laid-open to the public, and will be thereby irreparable harmed unless allowed to proceed under either 37 CFR §1.47(a) or (b).
- 25. On information and belief, Samsung Electronics Co., Ltd. will lose its right to obtain patent protection in these United States for its aforesaid investment of several hundreds of millions of dollars in research, development and pre-production design of commercial products likely to embody the principles of the inventions disclosed and claimed in the above-captioned application, Serial No. 10/067,758, and disclosed in patent application Serial No. 20847/1996 earlier filed in the Korean Intellectual Property Office, and will be thereby irreparable harmed unless allowed to proceed under either 37 CFR §1.47(a) or (b).

26. The Declarant, pursuant to 37 C.F.R.§1.68, having been warned that willful full statements and alike are punishable by fine or imprisonment, or both under 18 U.S.C. §1001, and may jeopardize the validity of the application or any patent issuing thereon, states that all statements made in this Declaration made of the Declarant's own knowledge are true and that all statements made on information and belief are believed to be true.

AND FURTHER, the Declarant sayeth not.

2007

(date)

(Signature)

Name: Kabtae HAN

Title: Principal Engineer

Samsung Blectronics Co., Ltd.



#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

**CHUN-GEUN CHOI** 

Serial No.:

10/067,758

Examiner:

SHANKAR, VIJAY

Filed:

8 February 2002

Art Unit:

2629

For:

COLOR CURVE CONTROL CIRCUIT AND METHOD

# **TRANSMITTAL OF DECLARATION**

Commissioner for Patents P.O.Box 1450 Alexandria, VA 22313-1450

Sir:

This transmittal accompanies the original Supplemental Reissue Application Declaration by the Assignee for the above-referenced application.

Respectfully submitted,

Robert E. Bushnell,

Attorney for the Applicant Registration No.: 27,774

Suite 300, 1522 "K" Street, N.W. Washington, D.C. 20005 (202) 408-9040

Folio: P54562RE Date: 8/8/07 I.D.: REB/fw

PTO/SB/52 (08-99)

Approved for use through 9/30/00. OMB 0651-0033

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## SUPPLEMENTAL REISSUE APPLICATION DECLARATION BY THE ASSIGNEE

AUG 0 8 2007

Docket Number (optional) P54562RE

		the state of the s								
I hereby declare that:  My residence and post office address and citizenship are stated below next to my name.										
I am authorized to act on behalf of the following assignee: <u>SAMSUNG ELECTRONICS CO., LTD.</u>										
and the title of my position with said assignee is: Kabtae HAN (Principal Engineer).										
The entire title to the patent identified below is vested in said assignee.										
Name of Inventor(s):	CHUN-GEUN CHOI									
Patent Number:	6,025,823	Date of Patent Issued: 15 February 2000								
Title of Invention:	le of Invention: COLOR CURVE CONTROL CIRCUIT AND METHOD									
☐ Additional Inventors are named on separately numbered sheets attached hereto.										
I believe said inventor(s) to be the original and first inventor(s) of the subject matter which is described and claimed in said patent, for which a reissue patent is sought on the invention entitled: <u>COLOR CURVE CONTROL CIRCUIT AND METHOD</u> , the specification of which										
		ssue application number $10/067,758$ , and was amended on ble)								
foreign application(s) which designated at provisional application or inventor's certificat 20847/1996	for patent or inventor's coleast one country other to n(s), listed below and have	ts under Title 35, U.S. Code §119(a)-(d) or §365(b) of any ertificate, or §365(a) of any PCT International application han the United States, or §119(e) of any United States e also identified below any foreign applications for patent re that of the application on which priority is claimed:  Priority Claimed  11 June 1996  Yes [X] No []								
(Application Number)	(Country)	(Day/Month/Year filed) Yes [ ] No [ ]								
(Application Number)	(Country)	Yes [ ] No [ ] (Day/Month/Year filed)								
claims, as amended by I acknowledge C.F.R. §1.56. Pursuant to 37	any amendment referred the duty to disclose inform	nation which is material to patentability as defined in 37 lieve the original patent to be wholly or partly inoperative								

Burden Hour Statement: This form is estimated to take 0.5 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231.

DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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# SUPPLEMENTAL REISSUE APPLICATION DECLARATION BY THE ASSIGNEE

Docket Number (optional)
P54562RE

- by reason of a defective specification or drawings.
- by reason of the patentee claiming more or less than he had the right to claim in the patent.
- by reason of other errors.

Pursuant to 37 C.F.R. §1.175, the Applicant believes the original aforesaid patent to be wholly or partly inoperative or invalid by reason of a defective specification or drawings, and by reason of the patentee claiming more or less than the patentee had the right to claim in the patent.

By way of an example, and in compliance with §1414.(B) and (C) and §1414.01 of the *Manual of Patent Examining Procedure*, 8<sup>th</sup> Ed., Rev. 5 (Aug. 2006), claims 1 through 8 define embodiments of Applicant's inventions in terms of "a digital to analog converter" and the foregoing word, phrase, or expressing in an original claim may be considered to unnecessarily narrow the scope of coverage of Applicant's inventions secured by these claims and render the original patent wholly or partly inoperative or invalid. In further compliance with §1414.(B) and (C) and §1414.01 of the *Manual of Patent Examining Procedure*, 8<sup>th</sup> Ed., Rev. 5 (Aug. 2006), specific corrective action is taken in this reissue application by presenting independent apparatus claim 39 without definition of "a digital to analog converter." By way of a second example, none of apparatus claims 1 through 8 provide a broad definition of any of Applicant's disclosed processes.

None of apparatus claims 1 through 8 provide broad definition of any of Applicant's disclosed processes. Accordingly, independent method claims 9, 12, 13, 15, and 16 broadly define Applicant's disclosed processes in terms of a combination of steps including the color temperatures and gain and cut-off values. Moreover, neither of Applicant's apparatus claims 1 or 5 broadly defined Applicant's control circuit; Accordingly, apparatus claim 39 is presented to broadly define the control circuit in terms of the combination of the input unit and microcomputer. As presented, claims 9 through 73 remedy the foregoing errors and correct the inoperativeness and defectiveness by providing a broader coverage of Applicant's disclosed invention.

I hereby declare that every error in the patent which was corrected in the present reissue application, and which is not covered by the prior oath(s) and/or declaration(s) submitted in this application, arose without any deceptive intention on the part of the applicant.

Specifically, regarding claim 9, the claim has been amended for the purpose of clarity to include the limitations of entering a color temperature value within said selected range; and storing certain color gain and cut-off data of the determined gain and cut-off data. The claim was also amended for any antecedent basis because of the above amendment.

Regarding claim 11, the claim has been amended to correct for antecedent basis because of the amendment to claim 9. Claim 11 was amended to include <u>said entering the color temperature value</u>.

Regarding claim 12, the claims has been amended for the purpose of clarity to include the limitations of inputting a user selected color temperature value within said <u>selected</u> range; <u>and storing color gain and cut-off</u> <u>data corresponding to the selected range</u>. The claim was also amended for any antecedent basis because of the above amendment.

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# SUPPLEMENTAL REISSUE APPLICATION DECLARATION BY THE ASSIGNEE

Docket Number (optional)
P54562RE

Regarding claim 13, the claims has been amended for the purpose of clarity to include the limitations of receiving a <u>color temperature</u> value; <u>and storing gain and cutoff values of said range</u>. The claim was also amended for any antecedent basis because of the above amendment.

Regarding claim 32, the claims has been amended for the purpose of clarity to include the limitation of storing the determined gains and cutoff values of the plurality of color data signals; entering a color temperature within said set temperature range. The claim was also amended for any antecedent basis because of the above amendment.

With regard to 37CFR§1.173(c), the following includes an explanation of the support in the disclosure of the patent for amended claims 9, 11-13 and 32. The amended claims 9, 11-13 and 32 are supported as a whole by Figures 1 through 3 and the entire specification of the present patent. Specifically, for example, amended claims 9, 11-13 and 32 are method claims that are supported for example by figure 3 and the corresponding disclosure in the specification (e.g., col. 5, line 39 to col. 7, line 21).

I hereby declare that all statements made herein of my/our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.



PTO/SB/52 (08-99)

Approved for use through 9/30/00. OMB 0651-0033

patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## SUPPLEMENTAL REISSUE APPLICATION DECLARATION BY THE ASSIGNEE

Docket Number (optional) P54562RE

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☐ Firm or Individual Name			ROBERT E. BUSHNELL AND LAW FIRM							
Address	s 152	1522 K Street, N.W., Suite 300,								
City	w	ashing	ton	State	D.C.	Zip	20005-1202			
Country	y U.	U.S.A.								
Telephor	nc (2	(202) 408-9040			Fax		(202) 289-7100			
examined the U.S. Patent I & Trademark to the filing I here statements m were made w	e document No. 6,025,8 k Office at of this reis by declare hade on inf with the knowing orisonment, he validity	is of ti 323 by Reel I sue ap that a ormati owledg or bot of the	tle, and determine of an No. 8748, a plication for all statement on and believe that willfich under 18	ermined that Assignment Frame No. Atheroissue Amade hore Afare believ Afalse state U.S.C. 100	s Samsung Ele at from all inv 0366 on the of U.S. Pater in of my own ed to be true; ements and the 1. and that suc	etronics ( entors rec 6th day of it No. 6,0. knowledg and furth the like so n the willful	this Declaration, and has Co., Ltd., the assignee of corded in the U.S. Patent October 1997, consents 25,823. The arc true and that all or that these statements and are punishable by false statements may patent to which this			
Assigner K	abtec HAN	(Princip	al Engineer)			AL-				
Signature	Kalit	a P	6	Date	Augus	et. 2	2007			
Address of Assignee: 416 Maetan-dong, Yeongtong-gu, Suwon-si, Gyeonggi-do, 442-742, Republic of Korea										
Patentee	CHUN-	N-GEUN CHOI Citizenship: Republic of Koroa								
Residence/Pe		ddress:	Jukong A	pt. 27-109 17	6 Maetan 1-do:	ng, Paldal	gu, Suwon-si, Kyungki-do.			

☐ Additional joint inventors are named on separately numbered sheets attached hereto.

[Page 4 of 4]



#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

**CHUN-GEUN CHOI** 

Serial No.:

10/067,758

Examiner:

SHANKAR, VIJAY

Filed:

8 February 2002

Art Unit:

2629

For:

COLOR CURVE CONTROL CIRCUIT AND METHOD

# TRANSMITTAL OF MEMORANDUM OF LAW IN SUPPORT OF <u>A PETITION UNDER 37 CFR §1.47(b)</u>

Commissioner for Patents P.O.Box 1450 Alexandria, VA 22313-1450

Sir:

This transmittal accompanies the original executed Memorandum of Law in Support of a Petition under 37 CFR §1.47(b) for the above-referenced application.

Respectfully submitted,

Robert E. Bushnell,

Attorney for the Applicant Registration No.: 27,774

Suite 300, 1522 "K" Street, N.W. Washington, D.C. 20005 (202) 408-9040

Folio: P54562RE Date: 8/8/07 I.D.: REB/fw





#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

CHUN-GEUN CHOI

Serial No.: 10/067,758

Examiner:

SHANKAR, VIJAY

Filed:

8 February 2002

Art Unit:

2629

For:

COLOR CURVE CONTROL CIRCUIT AND METHOD

MEMORANDUM OF LAW IN SUPPORT OF <u>A PETITION UNDER 37 C.F.R. §1.47(b)</u>

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Duke Y. CHOI, Esquire, an attorney regularly registered by and in practice before the Korean Intellectual Property Office for the Republic of Korea, who maintains his law offices at Taekang-Bldg. 3F., 823-8 Yuksam-dong, Kangnam-ku, Seoul, 135-080, Republic of Korea, in support of the accompanying Petition filed pursuant to 37 C.F.R. § 1.47(b), by Samsung Electronics Co., Ltd., a corporate entity chartered in and existing under the laws of the Republic of Korea, and maintaining its principal office at 416, Maetan-dong, Paldal-gu, Suwon-city, Kyungki-do, Republic of Korea, respectfully petitions the Commissioner to receive the accompanying Declaration signed by duly authorized Officer of Samsung Electronics, Co., Ltd., states that:

Folio: P54562RE Date: 8/8/07 I.D.: REB/fw

#### **STATEMENTS**

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This statement is to certify that the ownership of the invention, "COLOR CURVE CONTROL CIRCUIT AND METHOD" belongs to Samsung Electronics, Co., Ltd. under Articles 37 and 38 of the Korean Patent Law because the present invention was invented during the period of employment of the sole inventor, Mr. Chun-Geun CHOI, by staying as Samsung Electronics, Co., Ltd.

The subject invention was filed with the U.S. Patent & Trademark Office on THE 8<sup>th</sup> of February 2002 claiming priority based upon Korean patent application Serial No. 20847/1996 filed in Korea on the 11<sup>th</sup> of June 2007.

The statutory law of the Republic of Korea governing the ownership of domestic and foreign patent rights is set forth in Articles 37 [Transfer of the Right to Obtain a Patent] and 38 [Succession to the Right to Obtain a Patent], which state:

# Article 37 [Transfer of the Right to Obtain a Patent]

- (1) The right to obtain a patent may be transferred.
- (2) The right to obtain a patent shall not be the subject of a pledge.
- (3) In the case of joint ownership of the right to obtain a patent, a joint owner shall not assign his share without the consent of all the other joint owners.

# Article 38 [Succession to the Right to Obtain a Patent]

- (1) The succession to the right to obtain a patent before the filing of the patent application shall not be effective against third persons unless the successor in title files the patent application.
- (2) Where two or more applications for a patent are filed on the same date on the basis of a right to obtain a patent for the same invention derived by succession

from the same person, the succession to the right to obtain the patent by any person other than the one agreed upon by all the patent applications shall not be effective.

- (3) Paragraph (2) shall also apply where a patent application and a utility model application are filed on the same date, on the basis of the right to obtain a patent and utility model registration for the same invention and device which has been derived by succession from the same person.
- (4) The succession to the right to obtain a patent after the filing of the patent application shall not be effective unless the applicant files a notice of change of applicant, except in the case of inheritance or other general succession.
- (5) Upon inheritance or other general succession with respect to the right to obtain a patent, the successor in title shall notify the Commissioner of the Korean Industrial Property Office accordingly without delay.
- (6) Where two or more notifications are made on the same date, on the basis of a right to obtain a patent for the same invention that has been derived by succession from the same person, a notification made by any person other than the one agreed upon after consultations among all the persons who made notifications shall not be effective.
- (7) Article 36(6) shall apply *mutatis mutandis* to the cases under paragraphs (2), (3) or (6).

The accompanying Declaration by a co-worker employed by Samsung Electronics, Co., Ltd., who has personal knowledge of the facts, establishes that the subject matter disclosed claimed in the application assigned U.S. Serial No. 10/067,758 filed in the U.S. Patent & Trademark Office on 8<sup>th</sup> of February 2002, was conceived during the employment of the sole inventor, Mr. Chun-Geun CHOI by Samsung Electronics, Co., Ltd., prior to his resignation from that employment.

Based upon examination of the precedent set forth in judicial and statutory law of the Republic of Korea, and my familiarity of the law of the Republic of Korea, that a code of

competent jurisdiction with Republic of Korea would, by the weight of authority in the Republic of Korea, award title to the invention disclosed and claimed in U.S. Serial No. 10/067,758 to Samsung Electronics, Co., Ltd., under Articles 37 and 38 reproduced above.

Respectfully submitted,

Duke Y. CHOI

Attorney for the Applicant

yeust 2 ,2007